

Supporting a Better Tomorrow...One Person at a Time

## POLICY DEVELOPMENT GUIDELINES FOR INTERESTED AND CERTIFIED PROVIDERS

An example of policy development is as follows:

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## **Chapter 12: Training/Employee Development**

Rule 12.1 General Orientation

All new employees, volunteers and interns of ABC Agency will attend a General Orientation program developed by our agency or receive the orientation information via a DMH approved on-line training program. General Orientation will be provided and completed within thirty (30) days of hire/placement, except for direct service providers and direct service interns/volunteers.

All direct service personnel will complete all required orientation prior to contact with people receiving services and/or service delivery. Volunteers that have not attended orientation will never be alone with people receiving services unless supervised by agency provider personnel.

At a minimum, ABC General Orientation will address the following areas:

- 1. Overview of the agency provider's mission and an overview of the agency provider's policies and procedures
- 2. DMH Operational Standards (as applicable to services provided)
- 3. DMH Record Guide and Record Keeping (as applicable to services provided)
- 4. Basic First Aid
- 5. Cardiopulmonary Resuscitation Certification (CPR) must be a live, face-to-face training which is conducted by a certified CPR instructor; must be certified by the American Red Cross, American Heart Association or by other agency providers approved by DMH. All employees who have contact with people receiving services must be initially certified and maintain certification as required by the certifying entity.
- 6. Assistance with medication usage by non-licensed personnel (if applicable).
- 7. Infection Control (a) Universal Precautions (b) Hand-washing

- 8. Workplace Safety (a) Fire and disaster training (b) Emergency/disaster response (c) Incident reporting (d) Reporting of suspected abuse, neglect or exploitation (including signed acknowledgement of reporting responsibilities)
- 9. Rights of People Receiving Services
- 10. Confidentiality
- 11. Family/Cultural Issues and Respecting Cultural Differences
- 12. Basic standards of ethical and professional conduct (a) Drug Free Workplace (b) Sexual Harassment (c) Acceptable professional organization/credentialing standards and guidelines as appropriate to discipline (e.g., Principles of Ethical and Professional Conduct, ACA Code of Ethics, Social Work Code of Ethics, APA Code of Ethics, or NADSP Code of Ethics) 66
- 13. Principles and procedures for behavior support.
- 14. In addition to the requirements of Rules 12.1.A and 12.1.B, all direct service personnel and therapeutic foster care/resource parents will be certified in CPR prior to contact with people receiving services and/or service delivery. CPR certification will be a live, face-to-face training which is conducted by a certified CPR instructor and must be certified by the American Red Cross, American Heart Association or by other agency providers approved by DMH. Employees must be initially certified and maintain certification as required by the certifying entity.

In addition to the requirements of Rules 12.1.A and 12.1.B, Opioid Treatment Programs must include the following in general orientation:

- 1. Overdose management and other emergency procedures;
- 2. Clinical and pharmacotherapy issues;
- 3. Special populations to include women and seniors;
- 4. Poly-drug addiction; and,
- 5. Human Immunodeficiency Virus (HIV)/AIDS, Tuberculosis (TB), and other infectious diseases.

Documentation of employee General Orientation must be kept in the employee's personnel file. An example of documentation is as follows:

EMPLOYEE NAME:HIRE DATE:DATE OF TRAININGMicobell AttverizonOctober 1, 2021October 5- 29, 2021POSITIONF/T OR VOLUNTEERTherapistFull-time

Topic covered	Date of Training	<b>Employee Signature</b>
Overview of Agency P&P	10/5/2021	
and Mission		
DMH Operational	10/5/2021	
Standards		
DMH Record Guide	10/5/2021	
Basic First Aid	10/5/2021	
CPR	10/5/2021	

Assistance w/medication	10/5/2021	1
assistance w/medication	10/3/2021	
Infection Control	10/7/2021	
a. Universal Precautions	10/7/2021	-
b. Handwashing	10/7/2021	
Workplace Safety	10/8/2021	
(a) Fire and disaster	10/8/2021	
training		
(b) Emergency/disaster	10/8/2021	
response		
(c) Incident reporting	10/8/2021	
reporting (d) Reporting of	Employee signed	
suspected abuse, neglect or	required	
exploitation (including	Acknowledgement of	
signed acknowledgement	reporting responsibility	
of reporting	form (see DMH Record	
responsibilities)	Guide for this form.	
	Form included in	
	personnel record.	
Rights of People	10/12/2021	
Receiving Services		
Confidentiality	10/12/2021	
Family Cultural Issues	10/12/2021	
and Respecting Cultural		
Differences		
Basic standards of ethical	10/12/2021	
and professional conduct		
a. Drug Free Workplace	10/15/2021	
b. ) Sexual Harassment	10/15/2021	
c. Acceptable professional	10/15/2021	
organization/credentialing		
standards and guidelines as		
appropriate to discipline		
(e.g., Principles of Ethical		
and Professional Conduct,		
ACA Code of Ethics,		
Social Work Code of		
Ethics, APA Code of		
Ethics, or NADSP Code of		
Ethics)		
Principles and Procedures	10/22/2021	
for Behavior Support		
Overdose management and		
other emergency		
procedures		
Clinical and		1
pharmacotherapy issues		
Special populations to		
include women and seniors		
Poly-drug addiction		+
		+
. Human		
Immunodeficiency Virus		
(HIV)/AIDS, Tuberculosis		

(TB), and other infectious diseases.	

Agencies may include internal processes and procedures within the policies and procedures submitted to the DMH but must include all required DMH Operational Standards.

\*\*\*IMPORTANT NOTE: Policies and Procedures is not the Agency Handbook.

Please note that under each component under a DMH Operational Standard/Rule must be addressed. For example:

Rule 9.1 **Quality Assurance** includes 9.A.1 through 9.A.4 Your agency must address each standard: 9.A.1; 9.A.2; 9.A.3 and 9.A.4 (if applicable)

\*\*\*IMPORTANT NOTE: If a Standard/Rule is not applicable to your agency/program of service, please indicate Not Applicable (N/A) for that standard.